

Attorney Docket No. 07473-033

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Lawrence M. Sherman

For:

SYSTEM AND METHOD FOR SIMULTANEOUS

MULTIPLE DEATH LIFE INSURANCE

February 9, 2001 Boston, Massachusetts

Box PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

### TRANSMITTAL LETTER

Sir:

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Transmitted herewith for filing in the present application are the following documents:

- [X] Request for Filing New Patent Application under 37 C.F.R. 1.53(b);
- [X] Patent Application including Specification 16 pages); Claims (1 page); and Abstract (1 page);
- [X] Two (2) Sheets of Informal Drawings Figs. 1-2;
- [X] Declaration and Power of Attorney (unsigned); and
- [X] Check in the amount of \$710.00 for Patent Application Filing Fee.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617/542-6000, Boston, Massachusetts.

Applicant: Lawrence M. Sherman

If the amount of the check is insufficient, the Commissioner is hereby authorized to charge any additional fees to the undersigned's deposit account no. 50-0311. Please reference Attorney Docket No. 07473-033.

Respectfully submitted,

Carol H. Peters

Registration No. 45,010 Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C. One Financial Center Boston, MA 02111

Telephone: 617/348-4914 Attorneys for Applicant

Date: February 9, 2001

Attorney Docket No.: 07473-033

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# PATENT APPLICATION Attorney Docket No. 07473-033

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s): Lawrence M. Sherman

February 9, 2001 Boston, Massachusetts

Box PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

# NEW NONPROVISIONAL APPLICATION PURSUANT TO 37 C.F.R. 1.53(b)

This application claims priority under 35 U.S.C. §119(e) to United States provisional patent application serial No. 60/181,649, filed on February 10, 2000, incorporated herein by reference.

1. This is a request for filing a new nonprovisional application under 37 C.F.R. 1.53(b) entitled

# SYSTEM AND METHOD FOR SIMULTANEOUS MULTIPLE DEATH LIFE INSURANCE

2.	×	Specification (16 pages); Claims (1 page); Abstract (1 page)				
3.	X	Drawings - Number of Sheets Two (2) (Figs. 1-2)  Formal  Informal				
4.		Declaration and Power of Attorney				
		<b>Unsigned</b>				
		□ Signed				
5.		Information Disclosure Statement (IDS)				
		☐ Copy of IDS and PTO-1449 (X pages)				
		☐ Copies of references cited				
6.		Assignment Papers				
		☐ Recordation Form Cover Sheet (PTO-1595)				
		☐ Assignment Document				

#### 7. Fee Calculation

CLAIMS AS FILED											
Claim	18		Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$710.00				
	Claims						<b>4</b> ,1000				
(37 C.F.R. 1.16(c))			1	− 20 =	0	\$ 18.00	0.00				
Independent Claims (37 C.F.R. 1.16(b))			1	- 3 =	0	# <b>7</b> 0 00	0.0				
•		` //	1	- 3 -	U	\$ 78.00	.00				
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))			0		8	\$260.00	.00				
	<u>-\$</u>										
					TOTAL FEE		\$ 710.00				
8.		Stater	ement Claiming Small Entity Status								
			Claiming Small Entity as Independent Inventor (37 C.F.R. §1.9(f) & 1.27(b)).								
			Claiming Small E	ntity as Small E	Business Conce	rn (37 C.F.R. 8	§1.9(f) & .27(c)).				
						` `	, , , , , , , , , , , , , , , , , , , ,				
9.	×	A che	Claiming Small Entity as Nonprofit Organization (37 C.F.R. §1.9(f) & .27(d)). check in the amount of \$710.00 is enclosed.								
10.	×	The C	Commissioner is hereby authorized to credit overpayments or charge the								
		follov	following fees to Deposit Account No. 50-0311, Ref. 07473-033								
		X	Fees required under (37 C.F.R. §1.16).								
		X	Fees required under (37 C.F.R. §1.17).								
		X	Fees required und	er (37 C.F.R. §1	1.18).						
11.	×	Retur	Return Receipt Postcard Enclosed.								

Respectfully submitted,

Carol H. Peters

Registration No. 45,010 Attorneys for Applicants MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

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Date: February 9, 2001